



To: Ms. Rebeca Grynspan
Associate Administrator

5 February 2014

Through: Judith Karl, Director
Operations Support Group

Cleared: Abdul Hannan
Advisor, OSG

From: John Magoha, Programme Assistant
Operations Support Group

Subject: **DIM Authorization for Regional Project "Action for Cooperation Against Trafficking in Persons"**

Bureau: Regional Bureau for Asia and the Pacific

BASIC INFORMATION	
Dates of authorization	5 February 2014 – 31 December 2018
Brief Description	The project is intended to continue work of an inter-agency initiative against human trafficking (UNIAP 2001-2013) to empower a comprehensive counter-trafficking response through a cooperative and coordinated approach in Southeast Asia and beyond. It is in line with both Strategic Plan and the Regional Programme outcomes. <ul style="list-style-type: none"> i. Outcome 2: Citizen expectations for voice, effective development, the rule of law and accountability are met by stronger systems of democratic governance. ii. RPD Output 2.1: Rule of Law institutions strengthened to promote access to justice and legal reform to fight discrimination and address emerging issues.
Budget	\$18,479,432.00
JUSTIFICATIONS	
Justification for DIM	Given the regional nature of the project, implementation would require a highly networked institution. There is neither NGO nor other UN agency with the capacity and comparable network to effectively implement this project like UNDP.
DECISION	
Approval recommended	OSG recommends authorization of the project on the terms requested. Interim considerations for assuring quality and alignment to the new strategic plan are now under development for country-level programming, and these will be reviewed by the OPG on 12 February 2014. These will therefore be available for adoption at regional and global level programming in the next two weeks. OSG suggests that bureau reviews these interim considerations when received and use them at the next scheduled review of the project. This commitment should be recorded in the regional bureau's IWP for 2014. The bureau will then be expected to report on quality and alignment as expressed in these interim considerations in its report for 2014.

Approved by:

Rebeca Grynspan
Rebeca Grynspan
Associate Administrator

Date:

11 Feb 2014

cleared by Alberic Karon, 7 Feb 2014
Chief of Staff

United Nations Development Programme

Regional Bureau for Asia and the Pacific



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Interoffice Memorandum

To: Ms. Rebeca Grynspan, Associate Administrator Date: January 31, 2014

From: Mr. Nicholas Rosellini, Deputy Regional Director Extension:

Subject: Request for Approval of Direct Implementation by UNDP for two new regional projects in Asia and the Pacific File:

A handwritten signature in black ink, appearing to read 'N. Rosellini', is written over the 'From:' line of the memorandum.

I am pleased to submit for approval the Direct Implementation (DIM) by UNDP of two new regional projects namely - South Asia Multi-Country Global Fund Programme from Dec 2013 to Dec 2015 with a total budget of USD \$ 16.7 million; and the Action for Cooperation against Trafficking in Persons (ACTIP) from January 2014 to December 2018 with a total budget of USD \$ 18,479,432. Both the regional projects are to be managed by the UNDP Asia-Pacific Regional Centre, Bangkok.

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Both the regional projects will contribute towards the Strategic Plan and the new RBAP RPD Outcome 2: Citizen Expectations for voice, effective development, the rule of law and accountability are met by stronger systems of democratic governance.

The approvals to use the DIM modality for these two regional projects are urgent given its planned implementation and provision of support to the relevant countries in January 2014.

South Asia Multi-Country Global Fund Programme:

Given the current HIV situation in the South Asia region, it is widely recognized that it is increasingly important for the region to approach the epidemic in a coordinated manner. The regional South Asia Multi-Country Global Fund Programme is targeted at reducing the impact of HIV and AIDS amongst MSM and transgender people in South Asia. The regional project relies on national programmes and national Global Fund grants to measure and report impact and outcomes, according to their own timeframes.

UNDP Asia-Pacific Regional Centre (APRC) has been nominated as the Principal Recipient (PR) for the South Asia Multi-Country Global Fund (GF) Round 9 Programme. DIM modality is most suitable for this South Asia Multi-Country Global Fund project as UNDP APRC will directly contract the Sub Recipients (SRs) under the regional programme which is directly executed as One United Nations Plaza, New York, NY 10017 Tel: (212) 906 5000 Fax: (212) 906 5898 www.undp.org



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well. At the same time, current standing GFATM guidelines for the UNDP as a Principal Recipient state that the GFATM Programs are directly implemented/executed. As part of the South Asia Multi-Country Grant Request for Resubmission (Phase 2) process, the capacity of APRC, as the potential Principal Recipient (PR), was assessed by the Global Fund. This assessment was noted during the Grant Approval Committee (GAC) and the final Global Fund Board endorsement of the Phase 2 submission.

Action for Cooperation against Trafficking in Persons (ACTIP):

ACTIP is a regional follow-on project to the UN Inter-agency Project against Human Trafficking (UNIAP; 2001-2013), which was also managed by the UNDP Asia-Pacific Regional Centre (APRC) since April 2012. The evaluation of the UNIAP carried out in March 2012 found the project highly relevant. The ACTIP project on trafficking in persons aims to empower a comprehensive counter-trafficking response through a cooperative and coordinated approach in Southeast Asia and beyond. Activities seek to build the capacities of key anti-trafficking stakeholders, and to facilitate coordination and cooperation among all relevant actors, enabling them to work in a mutually supportive manner to effectively combat trafficking in persons, both at the national and the (sub-) regional level.

DIM modality is deemed appropriate for ACTIP as a regional project in support of the UN Resident Coordinator (RC) system in six countries of the Greater-Mekong Sub-region (Cambodia, China, Lao PDR, Myanmar, Thailand and Vietnam). Furthermore, the six participating governments explicitly requested UNDP APRC to manage the new project during a UNIAP Project Management Board meeting in September 2013. ACTIP follows directly from UNIAP, which was implemented using the DIM modality. UNIAP had been moved from the UN RC's Office for Thailand to APRC in 2012, following recommendations of an independent evaluation.

UNIAP's final evaluation report as well as the Project Management Board Meeting recommended UNDP/APRC to manage the project. APRC has the requisite capacity needed to effectively manage the regional project as depicted by the better support provided to all 6 RCOs involved in UNIAP since April 2012. This is evidenced by the preference indicated by the governments of the relevant six countries for APRC to continue with the management of the new ACTIP project, and the UNRCOs and donors have supported the governments' position.